



بيت الأوراق المالية
THE SECURITIES HOUSE

Statement of financial and non-financial penalties imposed on the company
During the financial year ended 31/12/2024

Gentlemen / Shareholders of The Securities House

Greetings,

Out of our continued commitment as The Securities House Board of Directors to comply with all instructions and decisions of the regulatory authorities, especially the instructions of the Capital Markets Authority contained in Article (9-9) of the Eighth Rule in Chapter Nine of Module Fifteen (Corporate Governance) of the Executive Bylaws of Law No. 7 of 2010 Regarding the Establishment of the Capital Markets Authority and Regulating Securities Activities and its amendments related to respecting the rights of shareholders, as well as in compliance with Article No. (211) of Chapter Eight of the Commercial Companies Law No. 1 of the year 2016, regarding the provisions of the General Assembly of Public Shareholding Companies, and based on the principle of disclosure and transparency, we would like to present below the financial and non-financial penalties that were imposed on the company by the regulatory authorities during the financial year ended December 31, 2024.

Decision of the Disciplinary Board of the Capital Markets Authority regarding Violation No. 17/2024, issued on 09/09/2024, concerning the breach of certain articles and provisions of Book Five (Securities Activities and Registered Persons) related to functions requiring registration:

First: A warning is issued to The Securities House Company, with a directive to avoid repeating such violations in the future.

Second: The Board of Commissioners of the Capital Markets Authority and relevant stakeholders are to be notified of this decision.

The company's management has corrected the violating situation and submitted an official pledge stating that the violation will not be repeated in the future.

The company's management confirms its constant commitment to abide by the instructions of all regulatory authorities and avoid the recurrence of unintentional errors in the future.

Abdullatif Mohamed Al Shaya
Chairman



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